

Exam : Rev16 (ATKT)

Subject: FINANCIAL SERVICES MANAGEMENT

Class : \_TYBBI

Semester:V

Sr. No.	Question	Option1	Option2	Option3	Option4
1	In simple terms, the management of issues for raising funds through various types of instruments by companies is known as:	Lead management	Merchant banking	Issue management	Public issue management
2	State Bank of India started merchant banking in _____ followed by ICICI in _____.	1972, 1974	1978, 1980	1973, 1974	1980, 1981
3	_____ is basically a savings and investment corporation	UTI	IDBI	SBI	RBI
4	The term _____ is defined as a central location for keeping securities on deposit.	Instrument	Depository	Institutions	Mutual Fund
5	Functions of financial services exclude -----.	Mobilization of savings	Allocation of fund	Specialized services	Collection of tax.
6	Formal merchant banking activity in India was originated in _____.	1978	1969	1769	1987
7	Who among the following are not eligible to invest in MF:	Indian Companies	Banks	Non Banking Finance Companies	Foreign Citizens
8	An open-ended mutual fund is one that has :	units available for sale and repurchase at all times	an option to invest in any kind of security	an upper limit on its NAV	fixed fund size
9	The grace period in bill discounting is ----- 2 days		5 days	1 month	3 days
10	Factoring is a relationship between the factor and the -----.	buyer	client	mutual fund	bill discounting
11	Securitisation is a ----- term financial source.	medium and long	long and short	short and medium	maturity
12	Factoring service is considered only in respect of receivables on account of:	Debit sales	Credit sales	Sales	Balance sheet
13	The situation in which the large portion of majority is borrowed from the broker of investor is classified as :	future investment	forward investment	leveraged investment	non-leveraged investment

14	The payoffs for financial derivatives are linked to:	securities that will be issued in the future	the volatility of interest rates	previously issued securities	government regulations specifying allowable rates of return
15	----- type of transaction defines two day delivery.	futures	swap	spot	forward transaction
16	----- can be described as a tax levied on all documents of a commercial nature.	Fees	GST	Option	Stamp duty
17	The markets in which the derivatives are traded are classified as :	assets backed market cash flow backed markets		mortgage backed markets	derivative securities markets
18	A contract that requires the investors to buy securities on a future date is called as:	short contract	long contract	hedge	cross
19	----- is an apex financial institution for housing .	RBI	SBI	NHB	SEBI
20	National Housing Bank was set up on July9,1988 under which among the following acts:	National Housing Bank Act,1985	National Housing Bank Act,1986	National housing Bank Act,1987	Apex Institution of Banking
21	HFC stands for :	Housing Finance Company	Housing Federation of Commerce	Housing Finance Corporation	Housing foundation of Commerce
22	The process which transform raw material into normal good is called:	stable production	secondary production	final stage of production	manufacturing process
23	Informal credit is a type of :	long-term credit	credit card	check trading	conditional credit
24	The third copy of credit card is required to send to the :	credit card company	cash account	banks	card holder
25	The person who is having good in his/her possession known as:	brokers	middlemen	factors	del credere agents
26	----- is a way of creating a charge against the security of movable assets.	NSDL	CDSL	Hypothecation	Pledgor
27	----- is an financial conglomerate based in Mumbai.	ICICI	NHB	HDFC	HUDCO
28	----- % of the GDP is domestic consumption-based.	64	50	45	34
29	Gilt funds are ----- which only invest in bonds and fixed interest-bearing securities issued by the State and Central governments.	equity funds	debt funds	value funds	debentures
30	The one who pledges the asset is called as -----.	Pawnee	Pawnor	Depository	Pledgor

